ҚАЗАҚСТАН РЕСПУБЛИКАСЫ ҰЛТТЫҚ ҒЫЛЫМ АКАДЕМИЯСЫНЫҢ

ХАБАРШЫСЫ

ВЕСТНИК

НАЦИОНАЛЬНОЙ АКАДЕМИИ НАУК РЕСПУБЛИКИ КАЗАХСТАН

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APPLICATION OF THE TERM "SELF-GOVERNMENT": RUSSIAN, SOVIET AND YUGOSLAVIAN EXPERIENCE

Abstract. Research objective is the historical and legal research application of the term "local self-government" in the legislation of the Russian Empire, the Russian Soviet Federative Socialist Republic, the Union of Soviet Socialist Republics, the Russian Federation and the Socialist Federal Republic of Yugoslavia.

The content of legal history of local self-government in the Russian Empire, RSFSR, USSR, the Russian Federation and Yugoslavia (the provisions on the peasants who left serfdom of the Russian Emperor Alexander II 1861, The Decree of Soviet of People's Commissars of RSFSR about Establishment of the Commissariat on Self-government of 1917, Letter of the People's Commissariat for Internal Affairs of RSFSR "About the Organization of Self-government" 1917, Constitution of the USSR 1977, Law of the USSR of "About the General Principles of Self-government and Local Economy in the USSR" 1990, Constitution of RSFSR 1978, Constitution of the Russian Federation 1993, Constitution of the Socialist Federal Republic of Yugoslavia 1974 and Federal Laws of the Russian Federation "About the General Principles of Self-government in the Russian Federation" 1995 and 2003) and the works of legal scientists are studied using the historical legal method and synthesis.

It is noted that as the self-government is activity, not absolutely to call this institute the fundamental principle of the organization of society, state. More logical as a basis of the constitutional system to consider obligation of functioning and security of local government in this connection it is necessary updating of the Russian legislation.

Keywords: self-government; local government; zemstvo self-government; peasant self-government; local Soviets; noble self-government; Yugoslavian self-government; small city unit; small territorial unit.

Introduction. The local self-government is the level of the public power, closest to the population, and ensures harmonious functioning of local authorities and maximum vitality of local communities.

Methods. The content of legal history of self-government in the Russian Empire, the Russian Soviet Federative Socialist Republic, the Union of Soviet Socialist Republics, the Russian Federation and the Socialist Federal Republic of Yugoslavia (the Provisions on the Peasants Who Left Serfdom, the Decree of Soviet of People's Commissars of the RSFSR about Establishment of the Commissariat on Selfgovernment, Constitution of the USSR 1977, Constitution of the Russian Federation 1993, Constitution of Yugoslavia 1974 and modern Russian federal laws) and the works of legal scientists using the historical legal method and synthesis are studied.

Results. The term "self-government" was used in Russia before the October revolution in various contexts. For example, regarding the organization of power in the annexed Finland, speaking about the "traditional order of self-government" [1], "peasant self-government" [2] was underlined, which was not part of the Zemstvo self-government. At the turn of XIX – XX centuries it acted since acts adopted in 1861 after the abolition of serfdom [3]. The program on peasants, emerged from serfdom, from December 19, 1861, assigned the status of public bodies of rural and township governance (village and parish gatherings, the mayor, the municipal officers "parish rule" and the peasant volost court, as well as other "necessary": a special tax collectors, custodians, grain stores, etc.) (Chapter 2, 3) [4], which is traditionally called «peasant self-government».

In addition to peasant self-government, noble self-government functioned autonomously from the Zemstvo self-government in the Russian Empire. Due to land reforms of the XIX century, noble self-

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government was separated from local government. But representatives of this class played an important role in local government, holding positions in Zemstvo.

In this regard, comparing modern local self-government with the Zemstvo self-government, we must not forget that the latter was significantly different from the modern organization of local government. Also, the system of Zemstvo self-government extended to the level of provincial, equivalent to modern subjects of the Russian Federation, which is not observed in the current system of local self-government. The problem of fragmentation of the system of local authorities in the late XIX century - early XX century in the Russian Empire was very relevant and you can find many scientific papers published in this period on the creation of "small urban unit", that is, the urban district in which the population owns the affairs of local government [5]. A similar problem existed in rural areas, the question of the formation of a small Zemstvo unit was discussed, the argument against this was the judgment about the impossibility to eliminate class contradictions within the parish [6].

During the Soviet period of development of Russia was built a unified system of public authorities, including local. In December 1917, the Commissariat for local self-government was established "to unite the activities of all urban and Zemstvo institutions" [7], and in fact-to eliminate these institutions. A few days later, a letter was issued by the People's Commissariat for Internal Affairs, according to which "all the former local authorities... volost government and others should be replaced by respectively the regional, provincial and district, district and parish councils of w.[orker], s[oldier], p.[easant] and agricultural labourers' deputies" [8].

The Institute of local self-government was not recognized by Soviet ideologists and legislators, therefore, it was on the periphery of Soviet scientific research in relation to the territorial organization of local government in USSR until the 1990s. As noted by O. E. Kutafin, in the years of Soviet power, the concept of "local self-government" for a long time was strongly rejected as a product of the theory and practice of bourgeois municipalism. It was believed that the functions of each local Soviet, which is part of the system of state authorities of the country, are not limited to solving local issues and affairs. While each local Soviet combines the management of local affairs with participation in solving national problems, in the formation of the state will of the people [9]. A well-established point of view is the opposition of the modern period of the organization of local power in the Soviet period of development of the state [10].

Traditionally, in modern scientific and educational works in relation to the history of local self-government, Soviet works of the 1920s are mentioned, where "local self-government" was still mentioned in relation to Soviet reality, but with an emphasis on the class approach. For Example, L. A. Velikhov, noting that Marxist literature has little to do with the theory of state law and it does not meet the definitional formula of self-government, defined it from the point of view of Marxism as public administration of any range of local affairs, formed through the election of authorized persons from the ruling class of the local population [11]. Indeed, this scientist was one of the last to use such concepts as commune, community, municipality and municipalization [12].

Since the 1930s, the official Soviet doctrine prevailed, according to which, in the Soviet system, local government is not opposed to public authorities [13]. The state power as a whole became self-government, and local self-government became the state power [14]. As terms in the field of organization of local power began to be used such as "local bodies of government", "local Soviet", "executive committees", "workers" and "voters" [13].

In the 1960s, the issue of transition to Communist self-government was considered primarily from the point of view of the death of the state, development, change and transformation of its functions and bodies [15]. The problem of universal involvement of all people in management of public affairs was considered as a task of creation of Communist self-government. Communist public self-government had to be formed on the basis of Soviets, trade unions and collective farms, which will change accordingly and merge into a single organization of self-government [16]. As examples of reducing the "paid" state apparatus and its replacement by the public, the creation of public departments of trade, culture and other district executive committees, the introduction of the post of public police officer [17] were cited. Local self-government was also considered as an expression of the real sovereignty of the people, exercising control over the state and the affairs of society directly or as its representatives, provided that the people are the only source of power and the subject of state property (national heritage) [18].

In the late 1960s – early 1970-ies in the Socialist Federal Republic of Yugoslavia began to take shape "a holistic local government system of the united labour", which has attracted the attention of Soviet scientists in the 1980-ies [19]. In this period of time, such attention could only be on the social order of the Central Committee of the Communist Party of the Socialist Yugoslavia, from which it can be concluded that the study of the Yugoslav experience of self-government was considered by the leaders of USSR, at least as a way to assess the Soviet reality, and as a maximum - a model for the possible modernization of the Soviet social system. Therefore, the Yugoslav model of "socialist self-government" can be considered as one of the alternatives to the social development of Soviet society in the early 1980s, which was not in demand for the leaders of the USSR. In Yugoslavia, at that time, the concept of socialist "self-government" was based on "socialist self-governing industrial (or socio-economic) relations" [20]. In the "Basic principles" of the introductory section of the Constitution of the Socialist Federal Republic of Yugoslavia, it was stated that «the peoples of Yugoslavia ... have established ... the Socialist Federal Republic of Yugoslavia, in which ... they exercise and maintain ... socialist social relations based on the self-government of workers, and the protection of the socialist system of self-government» [21]. Since the Yugoslav model of socialist self-government was enshrined in the Constitution, and in the Soviet Union, a similar system in the case of its adoption would be enshrined in the Constitution, i.e. it is the subject of constitutional and legal research.

Yugoslav self-government in state-owned enterprises, was at that time much more developed than in the USSR. The self-government structure of the Yugoslav enterprise consisted of a working Council, an elected governing body with decisive powers; a Board elected by the working Council from among its members, which was its operational executive and subsidiary body. The Director, other executors and the administrative staff were executors of the will of the self-government bodies [19].

However, the term "self-government" appeared in Soviet legislation much later. The first Soviet law where the term "local self-government" appeared, was the Law of USSR "General Principles of Local Self-government and Local Economy in USSR" 1990, which established that this type of self-government is carried out within the boundaries of administrative-territorial units, and as the primary territorial level of local self-government - the village Soviet, the village (district), the city (district in the city) (PP. 2 and 3 art. 2). The Constitution of USSR 1977 (art. 145) the term "local self-government" was introduced later by the law of the USSR "On Amendments and Additions to the Constitution (Basic Law) of the USSR in Connection with the improvement of the System of Public Administration" 1990.

The Law of RSFSR "On Amendments and Additions to the Constitution (Basic Law) of RSFSR in Connection with the Reform of Local Self-Government" 1991 for the first time in the history of RSFSR in its Basic Law was introduced the term "local self-government": the name of the section VII of the Constitution of RSFSR 1978, "Local Bodies of State Power and Administration in the Russian Federation" was replaced by "Local Self-government in the RSFSR". In art. 138 of the Basic Law of RSFSR local self-government was positioned as carried out by the population in areas, cities, towns, rural settlements through local Soviets of people's deputies, governing bodies – local administration, local referendums, meetings (gatherings) of citizens, other territorial forms of direct democracy, as well as bodies of territorial public self-government of the population and ensuring independent solution of all issues of local importance by citizens through bodies elected by them or directly, implementation of decisions of higher bodies of state power adopted within their competence, based on the interests of the population, on the basis of material and financial resources assigned to self-government bodies. The same act was repealed art. 151 of the Constitution of the RSFSR of 1978 according to which departments and managements of executive committees submitted both to Soviets and their executive committees, and higher bodies of public administration.

Chapter 8 (section 1) of the Constitution of the Russian Federation 1993 is called "Local self-government", the content of this term was disclosed not by the Basic law, but by Federal Law of the Russian Federation "About the General Principles of Self-government in the Russian Federation" 1995 and law of 2003 of the same name.

Article 2 of the Law "About the General Principles of Self-government in the Russian Federation" 1995 established that local self-government in Russia is an independent activity of the population, recognized and guaranteed by the Constitution of the Russian Federation, and under its own responsibility, to resolve issues of local importance directly or through local self-government bodies based on the

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interests of the population, its historical and other local traditions, and that local self-government as an expression of the power of the people is one of the foundations of the constitutional system of the Russian Federation. In art. 1 of the Law "About the General Principles of Self-government in the Russian Federation" 2003 local self-government is understood as one of the foundations of the constitutional system of Russia, which is recognized, guaranteed and implemented throughout the territory of the Russian Federation, as well as the form of exercise of power by the people, ensuring within the limits established by the Constitution of Russia, federal laws, and in cases established by this laws, – laws of subjects of the Russian Federation, independent and under the responsibility the decision by the population directly and (or) through local governments of questions of local value proceeding from interests of the population taking into account historical and other local traditions.

It should be noted that local self-government, if it is an activity, is not exactly called a fundamental principle. It is more logical as a basis of the constitutional system to consider the mandatory functioning and guarantee of local self-government in the Russian Federation. In this regard, it is necessary to adjust the text of part 1 of article 1 of the Law "About the General Principles of Self-government in the Russian Federation" 2003, in which local self-government is declared one of the foundations of the constitutional system of Russia.

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«ӨЗІН-ӨЗІ БАСҚАРУ» ТЕРМИНІН ҚОЛДАНУ: РЕСЕЙЛІК, КЕҢЕСТІК ЖӘНЕ ЮГОСЛАВИЯЛЫҚ ТӘЖІРИБЕ

Аннотация. Зерттеудің мақсаты – Ресей империясы, Ресей Кеңес Федеративті Социалистік Республикасы, Кеңестік Социалистік Республикалар Одағы, Ресей Федерациясы және Югославия Социалистік Федеративті Республикасының заңнамасында «жергілікті өзін-өзі басқару» терминін пайдаланудың тарихи-құқықтық зерттеуі.

РКФСР, КСРО, Ресей Федерациясы және Югославиядағы жергілікті өзін-өзі басқару тарихының құқықтық аспектілері тарихи-құқықтық әдіс пен синтездеу әдісімен зерттелді (1861 ж. Ресей императоры ІІ Александрдың басыбайлы құқықтан шыққан шаруалар туралы ережесі;1917 жылғы РКФСР Халық Комиссариаты Кеңесінің Жергілікті өзін-өзі басқару Комиссариатының қаулысы; РКФСР-дыңІшкі Істер Халық Комиссарының «Жергілікті өзін-өзі басқару ұйымы туралы» 1917 жылғы хаты, КСРО-ның 1977 жылғы Конституциясы, «Жергілікті өзін-өзі басқарудың жалпы принциптері туралы» Заңы, 1978 ж. РКФСР Конституциясы, 1993 ж. Ресей Федерациясының Конституциясы, Югославия Социалистік Федерациясының 1974 жылғы Конституциясы және Ресей Федерациясындағы «Жергілікті өзін-өзі басқарудың жалпы принциптері туралы» Федералдық заңдары (1995 және 2003 жж.) және заңгер-ғалымдардың еңбектері.

Мақалада жергілікті өзін-өзі басқару жеке қызмет болғандықтан, бұл мекемені қоғамды немесе мемлекетті ұйымдастырудың іргелі қағидаты деп атауға болмайтындығы айтылады. Конституциялық тәртіптің негізі ретінде жергілікті өзін-өзі басқарудың жұмыс істеуі мен кепілдігі туралы міндеттемені қарау дұрысырақ, сондықтан Ресей заңнамасын түзету қажет.

Түйін сөздер: өзін-өзі басқару; жергілікті үкімет; Земство өзін-өзі басқару; шаруа өзін-өзі басқару; жергілікті кеңестер; ақсүйектер; Югославияның өзін-өзі басқару; шағын қалалық бөлімше; шағын земство бөлімшесі.

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ПРИМЕНЕНИЕ ТЕРМИНА «САМОУПРАВЛЕНИЕ»: РОССИЙСКИЙ, СОВЕТСКИЙ И ЮГОСЛАВСКИЙ ОПЫТ

Аннотация. Целью исследования является историко-правовое исследование применение термина «местное самоуправление» в законодательстве Российской империи, Российской Советской Федеративной Социалистической Республики, Союза Советских Социалистических Республик, Российской Федерации и Социалистической Федеративной Республики Югославия.

С применением историко-правового метода и синтеза исследованы правовые аспекты истории местного самоуправления в Российской империи, РСФСР, СССР, Российской Федерации и Югославии (Положение

Российского Императора Александра II о крестьянах, вышедших из крепостной зависимости, 1861 г.; Декрет Совета Народных Комиссаров РСФСР об учреждении Комиссариата по местному самоуправлению 1917 г.; Письмо Народного комиссариата внутренних дел РСФСР «Об организации местного самоуправления» 1917 г.; Конституция СССР 1977 г.; Закон СССР «Об общих началах местного самоуправления и местного хозяйства в СССР»; Конституция РСФСР 1978 г.; Конституция РФ 1993 г.; Конституция Социалистической Федеративной Республики Югославия 1974 г. и Федеральные законы «Об общих принципах местного самоуправления в Российской Федерации» 1995 и 2003 гг.) и труды ученых-юристов.

В статье отмечается, что поскольку местное самоуправление является деятельностью, то не совсем называть данный институт основополагающим принципом организации общества, государства. Логичнее в качестве основы конституционного строя рассматривать обязательность функционирования и гарантированность местного самоуправления, в связи с чем необходим корректировка российского законодательства.

Ключевые слова: самоуправление; местное самоуправление; земское самоуправление; крестьянское самоуправление; местные советы; дворянское самоуправление; югославское самоуправление; мелкая городская единица; мелкая земская единица.

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